



**FIRST ASSET
FINANCIAL Inc.**
Member SIPC ♦ FINRA

110 East Iron Ave.
Salina, KS 67401
(785) 825-5050
Fax (785) 823-9207

INSTRUCTIONS FOR SENDING CHECKS FOR PAYMENT OF INVESTMENTS

1. If you have purchased a listed stock, NASDAQ stock, low priced stock, corporate bond, CD, reverse exchangeable, municipal bond, FNMA, or a mutual fund “on the wire” with a confirmation sent to you from Southwest Securities, Inc., here is how you complete the transaction by paying for the purchase:

- a. Make the check payable to “Southwest Securities, Inc.” for the amount due.
- b. Place your account number in the “memo” section of the check or at the top.
- c. Either-----

(1) Place it in an envelope addressed to:

Southwest Securities, Inc.
1201 Elm St.
Dallas, TX 75270

Or

(2) Hand deliver the check to your local First Asset Financial Inc. office.

REMEMBER THE CHECK MUST BE IN DALLAS OR AT YOUR FIRST ASSET FINANCIAL INC. OFFICE BY SETTLEMENT DATE (this appears on your confirmation & is 3 business days after your purchase date/trade date)!