

**LOW PRICED STOCK DISCLOSURE  
to FIRST ASSET FINANCIAL, INC.**

**TO:** First Asset Financial, Inc.

**DATE:** \_\_\_\_\_

**FROM:** \_\_\_\_\_ **Account #** \_\_\_\_\_

Gentlemen,

Please be advised that no person registered with your firm originated a conversation about, nor solicited purchases of any of the common stock that will be listed by the FAF rep as purchased.

I/we have made a decision to buy/sell on my/our own and are fully aware that any or all of the positions that will be listed below are highly speculative. I/we understand that it is possible to lose all of the moneys invested in any or all of the listed stock positions.

I/We understand that any or all stock listed below may not be registered in our state of residence, but wish to purchase it regardless of registration and hold First Asset Financial Inc. and its brokers harmless regarding this purchase. I/We also further understand I/we may not be able to sell these stocks when I/we wish to do so if the SEC has initiated "operation spamalot" and suspended trading on targeted stocks (see web addresses in the box).

<a href="http://www.sec.gov/investor/35tradingsuspensions.htm">http://www.sec.gov/investor/35tradingsuspensions.htm</a> <a href="http://www.sec.gov/investor/pubs/pump.htm">http://www.sec.gov/investor/pubs/pump.htm</a>
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*Signed:*

Account Holder:

**X** \_\_\_\_\_

Co-Account Holder (if any)

**X** \_\_\_\_\_

Above signatures allow for the rep to add purchases as made & date them as they may occur in the future.

Source of Information Regarding Purchase ▶

Date of Purchase ▼

NAME OF STOCK ▼

NAME OF STOCK ▼	Date of Purchase ▼	FAMILY MEMBER OR FRIEND	INTERNET SPAM OR E-MAIL	BUSINESS ASSOCIATE	NEWS PUBLICATIONS	BROADCAST SERVICE	OTHER SOURCE

Account Holder:

**X** \_\_\_\_\_

Signature

Date Signed

Co-Account Holder:

**X** \_\_\_\_\_

<p>I/We concur with the previous purchases &amp; sources listed above as evidenced by my/our signatures</p>
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