## Indexed Annuity Questionnaire and Disclosure

Printed Client Name(s)					C	urrent Age	e:	
Amount of Policy: \$	Company	and Policy:						
Market index Selected: □S&P 500	□FINRAAQ 100	□ DJIA □S&	&P400	Other				
Initial Participation Rate	% □ N/A	Bonus or Credi Does the policy	include a					
Index Spread/Margin/Admin Fee	% □ N/A	Other Informat	ion					
Indexing Method (Check one) ☐ Annual Reset	(Ratchet)  High W	ater Mark 🚨 Poi	nt-to-Point	Other:				
Should you surrender the policy ea		g charges wil	l apply:					
IN YEAR 1 2 3	4 5	6 7	8	9	10	11	12	13
Surrender Charge % %	% % %	% %	ю́ %	%	%	%	%	0
The amount placed in this policy re	presents what per	cent of my inve	estments	? Doe:	s this po	olicy have	a "mark	et valu
☐ Less than 25% ☐Less	than 50%	☐ More than :	50%	adju	stment'	' (MVA)?	☐ YES	□ N
I anticipate that I will begin to acce	ss the money in t	his policy:						
□ Never □ 0 - 5 years □ 6 - 10 years	☐ 11 - 15 years	☐ 15+ years	☐ after ag	ge 59'/2				
Do you have sufficient available								
expenses and emergencies other	than the money	you plan to us	se to pur	chase th	is poli	icy?	Yes $\square$	No
Client Affirmations:								
I understand the participation rate, ca	ap rate and/or the	index spread r	nay be s	ubject to	change	e by the		
insurance company according to the		provisions. If the	e insura	nce comp	oany m	akes suc	h	
changes, this could adversely affect I understand that the guarantees of t		I Annuity are h	acked hy	the clain	ns navi	ing ahility	of the	
underwriting insurance company.	ine Equity macket	Annulty are bi	acked by	tric ciair	ns pay	ing ability	or the	
I understand the surrender charge pe	eriod for this prod	uct may be long	ger and t	he surrer	nder ch	arges ma	ay be hi	gher
than other annuity products.  I understand that an Equity Indexed	Annuity is an insu	ranco product	and may	or may	not ho	rogistoro	d with	
the Securities and Exchange Commi			and may	or may	not be	registere	a with	
I understand that Equity Index Annui	ties are long-term	investments. I						
early, I may be required to pay a sur				IRS may	/ also ir	mpose a	10%	
penalty on withdrawals prior to age 5 I understand that I may lose money,				antee co	ntract r	nnvisions	2	
I understand that by purchasing this								
there are no additional tax advantage								
I understand that a stock index is a p I understand that there may be a "Ma								
amount received in case of early liqu								
<ol><li>I understand that only the interest ea</li></ol>	arned in an Indexe	d Account may	be affec	cted by a	stock	or equity	index.	
<ol> <li>I understand that if purchasing a bor</li> </ol>		have higher in	iternal ch	narges, re	educed	crediting	or	
benefits an longer surrender periods <ol> <li>I understand that my First Asset Final</li> </ol>		ntative also off	ars other	r investm	ent ch	nicas suc	rh as	
mutual funds and variable annuities.								
after due consideration, I consider th								
<ol><li>I understand the indexing features list purchasing.</li></ol>	sted in this disclos	ure may not in	clude all	features	of the	annuity I	am	
I acknowledge that I have reviewed	the above informa	ation and agree	e with the	e accurac	y of the	e comple	ted	
items and have read and understan my needs at this time.								
X		X						
Owner Signature	Date	Joint Owne	er Signatu	re (if any)			Date	-

## Indexed Annuity Questionnaire and Disclosure

Agent's Information & Signature is completed on the back of this Questionnaire and Disclosure form? 

—YES —NO

FOR REPRESENTATIVE'S USE ONLY	FOR	REP	RES	ENTA	$\Lambda TIV$	VE'S	USE	ONI.	Y
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<ul> <li>□ This customer already has a (new) account form on file with First Asset Financial Inc.</li> <li>OR—</li> <li>□ A First Asset Financial Inc. Account Form accompanies this application</li> </ul>
Representative's statement and affirmation:
I certify that the disclosure material, product information, and other information as required by the specific insurance company for the policy in this sale has been delivered to the person signing on the reverse side of this form (applicant). I have either previously delivered or completed a First Asset Financial Inc. Account form that accompanies the application for the applicant.
I have not made statements that differ in any significant manner from the material provided by the insurance company. I have not made promises or guarantees about the future value of any non-guaranteed elements. I believe that the policy on the reverse is suitable for the applicant.
I have revealed to the applicant that I am a Registered Representative with First Asset Financial Inc. and that I offer other securities products which may fit the applicant's specific investment needs. I have given a brief description of the investments that I feel might fit the applicant's objectives as a potential alternative to the indexed annuity.
I also state that I am either (a) currently appointed with the insurance company listed on the reverse or (b) that an appointment application accompanies the customer application. I also certify that I am licensed for insurance in the state in which the applicant resides.
I have reasonable grounds for believing that the recommendation to purchase/exchange or the replacement of another annuity is suitable on the basis of the facts disclosed by the consumer as to their investments, other insurance products, and their financial situation and needs.
SIGNED Date Signature of Registered Representative
Signature of Registered Representative
Printed Last Name of Representative